

### **JD – Dealer (Mutual Fund)**

Are you ready to grow and be part of a dynamic asset management company and part of its Dealing team as “**Dealer**” ?

#### **About Carnelian:**

We are a fast-growing Asset Management firm headquartered in Mumbai having multiple offices in the country and managing over USD 1 bn in assets across several PMS & AIFs with presence in over 7 cities and in the process of seeking Mutual Fund presence too. Our head office is at Lower Parel, Mumbai. We are urgently looking for a self-driven & ambitious person to take care of compliance function of the proposed mutual fund being setup at Mumbai.

#### **Key Responsibilities:**

- Execute equity and derivative trades across NSE/BSE as per Fund Manager’s directives.
- Ensure best execution with minimum market impact and in compliance with internal limits.
- Maintain an order log and track trade confirmations in real time.
- Coordinate with fund accounting and custody teams for trade settlement.
- Track intraday and daily market movements, liquidity and price trends.
- Provide Fund Managers with actionable market updates and execution feedback.
- Monitor corporate actions, index rebalancing and relevant news, impacting portfolios.
- Maintain active relationships with approved brokers to ensure efficient execution and access to market insights.
- Assist in periodic broker performance evaluations based on execution quality and research inputs.
- Adhere to SEBI (Mutual Fund) Regulations, internal investment limits, and dealing procedures.
- Maintain accurate dealing records, time stamps, and broker quotes for compliance and audit.
- Prepare daily dealing sheets and trade reports for management review.

#### **Qualifications & Experience:**

- Graduate / Postgraduate in Finance, Commerce or Economics; MBA (Finance) preferred.
- 2–5 years of experience in equity dealing within Mutual Funds, PMS or institutional broking.
- Familiarity with SEBI Mutual Fund Regulations and internal control frameworks.
- Proficiency in Bloomberg, Reuters and trading/order management systems.

Strong market awareness and quick decision-making

- Integrity and compliance orientation
- Detail-oriented and disciplined in execution
- Effective communication and teamwork

Reporting To: Reports To: Fund Manager/ Head – Equities / Chief Investment Officer (CIO)

Industry: Asset Management

Are you ready to make a difference?

Send your CV to "[career@carnelianmf.co.in](mailto:career@carnelianmf.co.in)" and join the Carnelian family.