Details of the Investor Complaints received by Carnelian Asset Management & Advisors Pvt Ltd (PMS)

Data for the month ending September 30, 2026

Sr No	Received From	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	Nil	4	4	Nil	Nil	4.5
2	SEBI (SCORES)	Nil	1	1	Nil	Nil	5
3	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
	Grand Total	**Nil**	**5**	**5**	**Nil**	**Nil**	**4.6**

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	Oct 31, 2025	Nil	1	1	Nil
2	Nov 30, 2025	Nil	Nil	Nil	Nil
3	Dec 31, 2025	Nil	2	2	Nil
4	Jan 31, 2026	Nil	Nil	Nil	Nil
5	Feb 28, 2026	Nil	1	Nil	1
6	Mar 31, 2026	1	1	2	Nil
7	Apr 30, 2026	Nil	1	1	Nil
8	May 31, 2026	Nil	Nil	Nil	Nil
9	Jun 30, 2026	Nil	1	1	Nil
10	Jul 31, 2026	Nil	Nil	Nil	Nil
11	Aug 31, 2026	Nil	Nil	Nil	Nil
12	Sep 30, 2026	Nil	5	5	Nil
	Grand Total	**1**	**12**	**12**	**1**

*Inclusive of complaints of previous months resolved in the current month.
#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

Sr No	Year	Carried forward from previous Year	Received	Resolved**	Pending##
1	2021-22	Nil	Nil	Nil	Nil
2	2022-23	Nil	Nil	Nil	Nil
3	2023-24	Nil	6	6	Nil
4	2024-25	Nil	1	1	Nil
5	2025-26	Nil	9	9	Nil
	Grand Total	**Nil**	**16**	**16**	**Nil**

** Inclusive of complaints of previous years resolved in the current year.
Inclusive of complaints pending as on the last day of the year.