

**Details of the Investor Complaints received by Carnelian Asset Management & Advisors Pvt Ltd
(PMS)**

Data for the month ending September 30, 2026

Sr No	Received From	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	-	4	4	-	-	4.5
2	SEBI (SCORES)	-	1	1	-	-	5
3	Other Sources (if any)	-	-	-	-	-	-
	Grand Total	-	5	5	-	-	4.6

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	Oct 31, 2025	-	1	1	-
2	Nov 30, 2025	-	-	-	-
3	Dec 31, 2025	-	2	2	-
4	Jan 31, 2026	-	-	-	-
5	Feb 28, 2026	-	1	-	1
6	Mar 31, 2026	1	1	2	-
7	Apr 30, 2026	-	1	1	-
8	May 31, 2026	-	-	-	-
9	Jun 30, 2026	-	1	1	-
10	Jul 31, 2026	-	-	-	-
11	Aug 31, 2026	-	-	-	-
12	Sep 30, 2026	-	5	5	-
	Grand Total	1	12	12	1

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

Sr No	Year	Carried forward from previous Year	Received	Resolved**	Pending##
1	2021-22	-	-	-	-
2	2022-23	-	-	-	-
3	2023-24	-	6	6	-
4	2024-25	-	1	1	-
5	2025-26	-	9	9	-
	Grand Total	-	16	16	-

** Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.