**Details of the Investor Complaints received by Carnelian Asset Management & Advisors Pvt Ltd (PMS)**

**Data for the month ending October 31, 2026**

| Sr No | Received From | Pending at the end of last month | Received | Resolved* | Total Pending# | Pending complaints > 3months | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | Nil | 1 | 1 | Nil | Nil | 7 |
| 2 | SEBI (SCORES) | Nil | 2 | - | 2 | Nil | - |
| 3 | Other Sources (if any) | Nil | Nil | Nil | Nil | Nil | Nil |
| | **Grand Total** | **Nil** | **3** | **1** | **2** | **Nil** | **7** |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints**

| Sr No | Month | Carried forward from previous month | Received | Resolved* | Pending# |
|---|---|---|---|---|---|
| 1 | Nov 30, 2025 | Nil | Nil | Nil | Nil |
| 2 | Dec 31, 2025 | Nil | 2 | 2 | Nil |
| 3 | Jan 31, 2026 | Nil | Nil | Nil | Nil |
| 4 | Feb 28, 2026 | Nil | 1 | Nil | 1 |
| 5 | Mar 31, 2026 | 1 | 1 | 2 | Nil |
| 6 | Apr 30, 2026 | Nil | 1 | 1 | Nil |
| 7 | May 31, 2026 | Nil | Nil | Nil | Nil |
| 8 | Jun 30, 2026 | Nil | 1 | 1 | Nil |
| 9 | Jul 31, 2026 | Nil | Nil | Nil | Nil |
| 10 | Aug 31, 2026 | Nil | Nil | Nil | Nil |
| 11 | Sep 30, 2026 | Nil | 5 | 5 | Nil |
| 12 | Oct 31, 2026 | Nil | 3 | 1 | 2 |
| | **Grand Total** | **1** | **14** | **12** | **3** |

*Inclusive of complaints of previous months resolved in the current month.
#Inclusive of complaints pending as on the last day of the month.

**Trend of annual disposal of complaints**

| Sr No | Year | Carried forward from previous Year | Received | Resolved** | Pending## |
|---|---|---|---|---|---|
| 1 | 2021-22 | Nil | Nil | Nil | Nil |
| 2 | 2022-23 | Nil | Nil | Nil | Nil |
| 3 | 2023-24 | Nil | 6 | 6 | Nil |
| 4 | 2024-25 | Nil | 1 | 1 | Nil |
| 5 | 2025-26 | Nil | 9 | 9 | Nil |
| | **Grand Total** | **Nil** | **16** | **16** | **Nil** |

** Inclusive of complaints of previous years resolved in the current year.
## Inclusive of complaints pending as on the last day of the year.